4XX   Series Statements

Series–General Information

The 490 and 8XX fields contain series information. The 490 field contains a series statements as it appears on the pieces being cataloged. The 8XX fields contain series added entries in their established forms.

Prior to 2009, field 440 carried the series statement when it appeared on the piece in its established form, and generated the added entry without the necessity of an 8XX field. Field 440 was made obsolete in 2008 to simplify the series area so that all title series statements are entered in field 490 and all title series added entries are given in the 830 fields.

Field 490 0# carries the series statement when it is not to be traced.

Field 490 1# optionally carries the series statement when the series is traced. According to CONSER standard record guidelines, it is not required to record a series statement in field 490/1# or 5XX when a series added entry (8XX) is included in the bibliographic record.

Fields 400, 410, and 411–used prior to the adoption of *AACR2*–are no longer used.

Relationships

The 490 and 8XX fields are used as follows:

1. Series untraced

```
490 0# MCHS folklore history series
```

2. Series traced

```
490 1#  Statistik Niedersachsen
        [Field is optional]
830 #0  Statistik Niedersachsen

490 1#  Reports of investigations / Washington State University,
        Laboratory of Anthropology
        [Field is optional]
830 #0  Reports of investigations (Washington State University.
        Laboratory of Anthropology)

533 ##  Microfilm. $b Woodbridge, Conn. : $c Research Publications,
        Inc., $d 1982. $e 6 microfilm reels ; 35 mm. $f (History of
        photography :  periodicals ; P1)
```

```
                    [Subfield $f is optional]
     830 #0  History of photography ; $v P1.
```

Display Constant

The word "Series" may be generated on output preceding the information given in that field.

```
     490 1#  $3 <1956/57->: $a Publication / Union of International
          Associations
          [Field is optional]
     830 #0  Publication (Union of International Associations)
```

In series statement displays as: (Publication / Union of International Associations)
In tracing area displays as: Series: Publication (Union of International Associations)

Editing instructions

1. **Initial articles are input in field 490**. They are not input in fields 800-830, either at the beginning of the field or at the beginning of a subfield within the field, except when the field/subfield begins with a name or geographic location and the intent is to file on the article.

2. **Punctuation**

   a. Do not input opening or closing parentheses in field 490. These may be system supplied.

   b. Terminal punctuation is not added to field 490. Add a period following the 8XX fields unless the field ends in a mark of punctuation.

   ```
    490 1# Annual report series
           [Field is optional]
    830 #0 Annual report series (Connecticut. State Dept. of Education.
           Bureau of Research, Planning, and Evaluation)
   ```

   c. The PCC in consultation with the Library of Congress Policy and Standards Division developed the following guidelines for consistent punctuation in $3 in several fields including the 490 and 8XX fields (http://www.loc.gov/catdir/cpso/punctuation.pdf). Volume numbering, chronological designation, publication date, etc., given in subfield $3 are followed with a colon (:). If the volume numbering, chronological designation, publication date, etc., represent incomplete information designated by a hyhen (-), insert a single space between the hyphen and the colon for clarity in displays.

   ```
    490 1# $3 v. 1-3:
    490 1# $3 v. 9-<10>:
    490 1# $3 Apr. 2010-<July 2010>:
   ```

```
490 1# $3 2010- :
490 1# $3 -2:
```

Subfields

Subfields used with field 490 are described with that field. For a description of subfields used with fields 800-830, see "Headings--General Information."

Use of subfield $3 in 490 and/or 8XX fields

When subfield $3 is required to indicate that a series does not apply to all issues, parts, or iterations covered by a record, it may be added to field 490 and/or field 8XX if one or both 490 and the 8XX are in the record depending on the PCC options practices followed by the cataloging agency. It is important that the information be available somewhere in the record.

```
490 1# $3 1983/84-<1987/88>: $a Data-search / National Education
       Association
830 #0 $a Data-search

490 1#  Data-search / National Education Association
830 #0  $3 1983/84-<1987/88>: $a Data-search

490 1#  $3 1983/84-<1987/88>: $a Data-search / National Education
       Association
830 #0  $3 1983/84-<1987/88>: $a Data-search
```

Related fields, etc.

Headings--General Information

440 Series statement/added entry–title (R) [Obsolete]

Description/Instructions

This field was made obsolete in 2008 to simplify the series area so that all series statements would be entered in the 490 field and all title series added entries in the 830.

Catalogers may, but are not required to, "flip" the 440 to 830 in OCLC when authenticating an existing record.

490 Series statement (R)

First indicator–Specifies whether series is traced

0   Series not traced

1   Series traced

Second indicator

Undefined

Subfields

a Series statement (R)

l   Library of Congress call number (NR) [LC use only]

v Volume number/sequential designation (R)

3 Materials specified (NR)

x International Standard Serial Number (NR)

6 Linkage (NR)

Description/Instructions

Field 490 contains a series statement as it appears on the piece(s) being cataloged. Field 490 does not serve as a series added entry.

CONSER standard record (CSR) cataloging practice:

- It is not required to search the Library of Congress/NACO Authority File (LC/NAF) for series authority records, or to create or update series authority records for a series statement being recorded in field 490 0#.

- A series statement (490 0#) is required when a formal series added entry (8XX) is not included the bibliographic record.

- It is not required to record a series statement in field 490 or 5XX when a series added entry (8XX) is included in the bibliographic record even when the established form of the series heading differs from the series statement appearing on the item. Differing forms of the series statement that are significant should be included in a series authority record.

Editing instructions

1. Initial articles are input.

2. Terminal punctuation is not input.

3. Parentheses enclosing the field may be system generated; they should not be input.

First indicator

The first indicator specifies whether the transcribed series has no corresponding added entry or has a corresponding 800-830 series added entry field.

0 - Series not traced.

Value "0" is used when no series added entry is included for the series in the bibliographic record.

```
490 0# Arthur Frommer's $-a-day guides
490 0# Official register of Princeton University
490 0# Pelican books
```

1 - Series traced.

In 2008, value "1" was redefined from "Series traced differently" to "Series traced." Previously, the first indicator was used in situations where the transcribed form and the traced form were different. The following examples are being retained here to help catalogers interpret records coded before 2007. When a series added entry (8XX) is used and a series statement is also desired (490/1), catalogers may record both in the bibliographic record.

1. The series was traced with a uniform title in field 830 (e.g., a parenthetical qualifier is added to the title).

```
490 1# Uniform crime reports
830 #0 Uniform crime reports (Washington, D.C.)
```

2. The series was traced under a personal, conference or corporate name in field 800-811.

```
490 1# Department of the Treasury document
810 1# United States. $b Dept. of the Treasury. $t Department of the
       Treasury document.
```

3. The series appeared on the piece in a form different from the established series tracing without constituting a major change in title.

```
490 1# Department of the Army pamphlet ; $v 27-50
830 #0 DA pam ; $v 27-50.
```

4. Information in the series statement was not included in the series tracing (excluding the ISSN). This includes:

    a. Parallel titles.

    b. Other title information.

    c. Statement of responsibility.

    d. Call numbers added by the Library of Congress.

```
490 1# Publication / Financial Publishing Company ; $v no. 185
830 #0 Publication (Financial Publishing Company) ; $v no. 185.
```

5. The series statement consisted of a numbered main series and a subseries and either or both were traced separately.

```
490 1# Department of State publication ; $v 7846. $a Department and
       Foreign Service series ; $v 128
830 #0 Department of State publication ; $v 7846.
830 #0 Department of State publication. $p Department and Foreign
       Service series ; $v 128.
```

Subfields

Input field 490 subfields in the following order: $3, $a, $x, $v, $l, $6.

$a Series statement.
Subfield $a contains the title proper as it appears on the piece, and may also contain a statement of responsibility, other title information, or dates or volume numbers preceding the title. Note that designations and/or names of parts do not require separate subfields.

```
490 0# Colección Arqueología y paleontología. Serie Arqueología
       aragonesa. Memorias

490 0# Bulletin / U.S. Department of Labor, Bureau of Labor Statistics

490 0# Words : their origin, use and spelling
```

Subfield $a is repeated when the subseries is separated from the main series by the numbering of the main series in subfield $v or the ISSN in subfield $x. Each parallel title is also input in a separate subfield $a.

```
490 0# Department of State publication ; $v 7846. $a Department and
       Foreign Service series ; $v 128

490 0# Annual census of manufactures = $a Recensement des
       manufactures, $x 0315-5587

490 0# JARE data reports, $x 0075-3343. $a Meteorology
```

$3 Materials specified.

When a serial has been issued within a series during part of its lifespan, the date or volume numbers representing that span should precede the title. This information should also be added when the serial has been issued in different series during different periods, or in a series whose title has changed. When the exact beginning and/or ending data is unknown, the earliest/latest dates or volume numbers are input in angle brackets. Follow the volume numbering, chronological designation, publication date, etc., given in $3 with a colon (:). If the volume numbering, chronological designation, publication date, etc., represents incomplete information designated by a hyphen (-), insert a single space between the hyphen and the colon for clarity in displays (see PCC guidelines for $3 at: http://www.loc.gov/catdir/cpso/punctuation.pdf).

```
490 0#  $3 <1984->: $a Miscellaneous publication / United States
        Department of Agriculture

490 0#  $3 1945-1949: $a Bulletin of the School of Education, Indiana
        University ; $v 26, no.  4

490 0#  $3 1950-1968: $a Thesis abstract series

490 0#  $3 1958/59-1971/72: $a Research report / National Education
        Association of the United States, Research Division

490 0#  $3 1972/73-<1975-76>: $a Research report / National Education
        Association of the United States, Research Division

490 0#  $3 1981/82- : $a NEA research memo
```

When an ending date is given alone, begin the field with the hyphen. Do not input leading spaces.

```
490 0#  $3 -1978: $a DHEW publication ; $v no. (NIOSH)
490 0#  $3 -3: $a Government finances
```

Record varying forms of the series appearing on different parts or issues of the serial in multiple 490 0# fields only if they differ substantially from the series statement and if they contribute to the further identification of and access to the serial.

```
490 0#  $3 -2: $a Language et litterature
490 0#  $3 3- : $a Language and literature
```

$l Library of Congress call number.
When the serial is issued within a series during part of its life and has been classified under the call number of that series, the call number is input in subfield $l, enclosed in parentheses. This information is input by the Library of Congress only.

```
490 1#  $3 1973- : $a NEA research memo $l (LB2842.N18)

490 1#  Bulletin / Engineering Experiment Station ; $v no. 50 $l
```

```
                    (TA7.074)
```

On June 1, 2006, the Library of Congress implemented its decision not to create/update series authority records and not to provide controlled series access points in its bibliographic records for resources in series. One of the exceptions listed at *Series at the Library of Congress: June 1, 2006* (URL: http://www.loc.gov/catdir/cpso/series.html) is LC shelflist records missing in LC's database now being input to the database. The records will reflect the existing series decisions (i.e., presence or absence of controlled series access point; a classed-separately or a classed-as-a-collection call number) at the time the resource was cataloged originally.

$v Volume number/sequential designation.
In general, subfield $v is used when the series numbering or part of the numbering remains constant on each issue of the serial. When input, subfield $v is preceded by a space-semicolon-space (;).

```
        490 0# $3 <1970>: $a Publication / Financial Publishing Company ; $v
                no. 185

        490 0# Publication / State of Utah, Department of Natural Resources,
                Division of Wildlife Resources, $x 0272-2496 ; $v no. 76-5
```

$x International Standard Serial Number.
The ISSN may be input in the series statement, preceded by a comma, when it appears on the piece. For further instructions, see field 440.

```
        490 0# Geological correlation, $x 0302-069X

        490 0# Tilastotiedotus. KT / Tilastokeskus = $a Statistisk rapport.
                KT / Statistikcentralen, $x 0355-2276

        490 0# JARE data reports, $x 0075-3343. $a Meteorology
```

Related fields, etc.

050, Series--General Information, 800-830